THE NORTHERN TRUST INSTITUTE

WEALTH PLANNING SYMPOSIUM

RENEWED PURPOSE

CONTINUING EDUCATION CREDIT

CLE

Accreditation provided by National Academy of Continuing Legal Education. This program is expected to qualify for up to 6.0 hours of CLE credit in AK, AZ, CA, CT, DC, FL, GA, HI, IA, IL, MD, MA, MI, NH, NV, NY, NJ, PA, RI, TN, WV, WY. NACLE will try to accommodate requests for CLE credit in your state. Attorneys may be eligible to receive CLE credit through reciprocity or attorney self-submission in other states. For more specific information about CLE accreditation, please contact sdornbush@nacle.com.

Attendee Reporting

Northern Trust will report CE credit hours to the National Academy of Continuing Legal Education on behalf of the attendee. Attendee will request CE credit in the post event survey and provide required information.

CIMA/CPWA

Investments & Wealth Institute® has accepted the The Northern Trust Institute 2021 Wealth Planning Symposium for 7.50 hours of CE credit towards the CIMA®, CPWA®, CIMC®, and RMA® certifications.

Attendee Reporting

Northern Trust will report CE credit hours to Investments & Wealth Institute on behalf of the attendee. Attendee will request CE credit in the post event survey and provide required information.

CTFA

American Bankers Association Professional Certifications has approved The Northern Trust Institute 2021 Wealth Planning Symposium for 7.25 CE credits.

ABA Professional Certifications is dedicated to promoting the highest standards of performance and ethics within the financial services industry. This statement is not an endorsement of this program or its sponsor.

Certificate of Completion

Attendee will request the certificate of completion in the post event survey. The certificate will be emailed to those that attended the symposium sessions. Attendees must self-submit credit by logging into their Certification Dashboard.

CFP

The Certified Financial Planner Board of Standards Inc. has approved 6 credit hours for The Northern Trust Institute 2021 Wealth Planning Symposium.

Attendee Reporting

Northern Trust will report CE credit hours to the CFP Board on behalf of the attendee. Attendee will request CE credit in the post event survey and provide required information.

CPE

By attending the symposium, participants can earn up to 6 CPE credits in Finance, Taxes and Business Management & Organization fields of study.

— Field of Study: Finance – 3 credit

— Field of Study: Taxes – 2 credits

— Field of Study: Business Management and Organization – 1 credit

The program is designed for advisors who work with a high-net-worth or ultra-high-net-worth client base serving families, family offices, business owners, executives and the unexpectedly single.

By attending the Wealth Planning Symposium, you will:

Gain practical insights on managing complex wealth in an evolving landscape

Gain unique perspectives and actionable insights on how to embrace this moment and advise clients with a more collaborative, data-driven and purposeful approach

Discuss significant developments and trends of the past year and give you the latest strategies to serve clients in an evolving landscape

Additional Information

— This program is complimentary for Northern Trust clients

— There are no cancellation fees associated with this program

— Delivery method: Group – Live Online due to COVID 19

— Prerequisites: None

— Program level: Advanced

— Advance preparation: None

— For more information regarding administrative policy policies such as complaint and refund, please contact our offices at 312-557-5803

— Questions: Contact Mindy Musch at mrm13@ntrs.com or 312-557-5803

Certificate of Completion

Attendee will request the certificate of completion in the post event survey. The certificate will be emailed to those that attended the symposium sessions. Certification holder must self-submit certificate to their respective state board.

About CPE

The Northern Trust Company is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors website.