

NORTHERN TRUST IN SWITZERLAND

Local Focus, Global Reach

Tap into our combination of local expertise and global platform for your Swiss Depotbank and fund administration - and wider multi-jurisdictional needs.

LOCAL EXPERTISE

Benefit from our intricate understanding of the Swiss market to address your specific needs - from in-depth local knowledge of accounting, depositary and custody through to tax and reporting.

With more than 30 years of experience in providing an extensive range of asset servicing and investment solutions in the Swiss market, our significant client franchise includes some of the world's most sophisticated asset owners and managers.

FINMA REGULATED DEPOTBANK

Access a suite of asset servicing solutions including local custody, Depotbank including transfer agency, and ancillary services:

- Custody services spanning multiple asset types for collective investment schemes
- Depositary for Swiss regulated investment funds (CISA)
- Shareholder Services for collective investment schemes
- Ancillary Services include securities lending, derivatives lifecycle management, collateral management, investment risk and analytical services, asset manager operational services.

SPECIALIST SWISS FUND ADMINISTRATION

A leading fund administrator in Switzerland with over two decades of regional expertise, we offer highly customised services for Swiss funds and Swiss Management Companies spanning:

- Fund launch management
- Fund accounting
- Portfolio pricing and NAV calculation
- Investment compliance monitoring
- Financial/client/regulatory reporting
- Specialist services for alternative asset classes including private equity, real estate and hedge funds and fund of hedge funds in addition to our services for traditional funds, managed accounts and exchange-traded funds.

These are complemented by Northern Trust's global fund servicing capabilities across 26 locations, supporting funds in all major domiciles across the globe.



PARTNERS BASED AT OUR BASEL OFFICE



AUC FOR SWISS-DOMICILED CLIENTS (USD)¹



AUA IN SWITZERLAND (USD)²



**SWISS COLLECTIVE INVESTMENT PRODUCTS,
REGULATED SWISS FUNDS, INVESTMENT
FOUNDATIONS AND MANAGED ACCOUNTS**

PRODUCT COVERAGE:

- Traditional funds
- Institutional managed accounts
- Exchange traded funds
- Private equity
- Fund of hedge funds
- Real estate
- Hedge funds

SUPPORT FOR THE ENTIRE INVESTMENT LIFECYCLE

In an increasingly digitised environment, benefit from an end-to-end operating platform on and through which you can execute your business strategy.

Our open-architecture approach offers you maximum optionality, interoperability and access to best-in-class technologies, services and solutions across the spectrum of strategy execution, trading, operational, data and digital and analytical solutions.

FUND ADMINISTRATION

- Settlement and safekeeping
- Cash and banking
- Accounting and valuation
- Post-trade compliance
- Performance and analytics

OPERATIONS AND DATA

- Middle-office outsourcing
- Data warehouse solutions
- Fund administration
- Governance and oversight
- Investor services/transfer agency



INVESTMENT PROCESS

- Research
- Idea generation
- Portfolio construction
- Order Management System (OMS) integration

CAPITAL MARKETS

- Outsourced execution
- Liquidity solutions
- Brokerage
- Securities finance
- Foreign exchange

PARTNERSHIP COMMITMENT

Work with a highly collaborative, trusted provider who has the agility to adapt to your evolving needs. Rather than using a one-size-fits-all approach, we listen and work with you to build a tailored solution that helps address any potential gaps or challenges you face across your investment value chain.

TO LEARN MORE

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1. Northern Trust data as at 31 March 2021

2. Northern Trust data as at 30 June 2021

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