



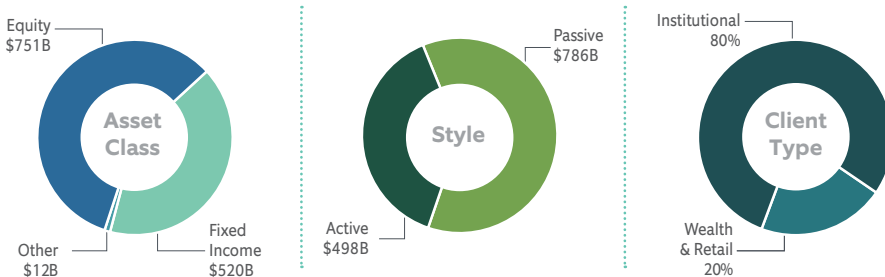
DYNAMIC INVESTMENT MANAGEMENT LEADER

As a leading global asset management firm, **our investment expertise, strength and innovation** have earned the trust and confidence of the world’s most sophisticated institutional and individual investors.

With \$1.2 trillion in total assets under management,* and a longstanding fiduciary history of solving complex investment challenges, we believe our strength and stability drive opportunities for our clients.

TOTAL ASSETS UNDER MANAGEMENT

As of June 30, 2021



A Recognized Leader	
Worldwide Assets ¹	17th largest
Worldwide Institutional Assets ²	12th largest
Factor-Based Strategies ^{**}	7th largest
Sovereign Wealth Funds ¹	6th largest
Endowment/Foundation Assets ¹	5th largest
Indexed (U.S.) Equity ⁴	4th largest
Indexed (U.S.) Fixed Income ⁴	5th largest
ETF Sponsor ⁷	18th largest in the U.S.

EXTENSIVE CAPITAL MARKETS EXPERTISE

Northern Trust’s forward-looking, historically aware investment approach and deep capital markets expertise power a comprehensive set of solutions. Our asset class capabilities range from passive and factor-based, to fundamental active and multi-manager.

EQUITY	FIXED INCOME	ALTERNATIVES	SOLUTIONS	ASSET ALLOCATION
<ul style="list-style-type: none"> Factor-Based Active Passive Tax-Advantaged Multi-Manager 	<ul style="list-style-type: none"> Active Passive Cash Management Multi-Manager 	<ul style="list-style-type: none"> Hedge Funds Private Equity Private Credit Real Assets Infrastructure Real Estate 	<ul style="list-style-type: none"> ESG Retirement Target Date Multi-Manager Investment Advisory Investment Institute 	<ul style="list-style-type: none"> Strategic Tactical

GLOBAL PRODUCT VEHICLES

Collective Funds | Common Contractual Funds | Common Funds | ETFs | FGR Funds | Investment Company Variable Capital Managed Accounts | Mutual Funds | Separate Accounts

* Assets under management as of June 30, 2021. For the Northern Trust Asset Management entities included in AUM total, please see disclosure at end of this document.
¹ Total worldwide assets under management. ² Worldwide institutional assets under management. ³ U.S. institutional, tax exempt. ⁴ U.S. institutional, tax exempt, managed internally.
 The above rankings are not indicative of future performance. Unless otherwise noted, rankings are based on total worldwide assets under management of \$1.1 trillion as of December 31, 2020 by Pensions & Investments magazine’s 2021 Special Report on the Largest Money Managers.
^{**} Ranking as of Pensions & Investments magazine’s 2020 Special Report on the Largest Money Managers.
 See back page for footnote and additional important information.

STABILITY + STRENGTH = OPPORTUNITY

We're referred to as the stable institution that people trust—but trust and stability don't mean tired and stationary. Quite the contrary: trust and stability mean we operate from a position of strength.

And with strength comes the ability to identify, create and seize unique opportunities for our clients.

Equity

LEADERS IN FACTOR-BASED INVESTING SINCE 1994

Fixed Income & Liquidity Solutions

5TH LARGEST INSTITUTIONAL CASH MANAGER IN THE U.S.³

ESG

OVER 30 YEARS OF MANAGING SOCIALLY RESPONSIBLE PORTFOLIOS
UNPRI SIGNATORY

Retirement Solutions

8TH LARGEST DB MANAGER⁴
10TH LARGEST DC MANAGER⁵

Multi-Manager

THIRD-PARTY MANAGER SOLUTIONS
9TH LARGEST OUTSOURCING MANAGER WORLDWIDE / 5TH U.S.⁶

50 South Capital

HEDGE FUNDS AND PRIVATE EQUITY SOLUTIONS

FlexShares®

FAMILY OF EQUITY, FIXED INCOME AND REAL ASSET ETFs

Northern Funds

\$230 BILLION⁷ DIVERSIFIED MUTUAL FUND FAMILY

Principles that Endure

Service

Relentless drive to provide exceptional service.

Expertise

Resolving complex challenges with multi-asset class capabilities.

Integrity

Acting with the highest ethics, utmost honesty and unfailing reliability.

For more than 125 years, Northern Trust has led the financial services industry by adhering to our guiding principles.

Today we remain committed to these founding principles, delivering our clients unparalleled service and expertise—with integrity.



LEARN MORE

Visit us at [northerntrust.com](https://www.northerntrust.com)

⁵Pension & Investments Annual Outsourcing Survey, March 31, 2021. ⁶Data as of June 30, 2020. ⁷Morningstar as of December 31, 2020.

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Past performance is no guarantee of future results.

Northern Trust Asset Management is composed of Northern Trust Investments, Inc., Northern Trust Global Investments Limited, Northern Trust Fund Managers (Ireland) Limited, Northern Trust Global Investments Japan, K.K., NT Global Advisors, Inc., 50 South Capital Advisors, LLC, Belvedere Advisors LLC and investment personnel of The Northern Trust Company of Hong Kong Limited and The Northern Trust Company.

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