

# Northern Trust World Small Cap ESG Low Carbon Index Fund

EOS at Federated Hermes

## Engagement by region

Over the last quarter we engaged with **48** companies held in the Northern Trust World Small Cap ESG Low Carbon Index Fund portfolios on a range of **98** environmental, social and governance issues and objectives.

### Global

We engaged with **48** companies over the last quarter.



- Environmental 21.4%
- Social and Ethical 34.7%
- Governance 29.6%
- Strategy, Risk and Communication 14.3%

### Australia & New Zealand

We engaged with **one** company over the last quarter.



- Environmental 40.0%
- Social and Ethical 40.0%
- Strategy, Risk and Communication 20.0%

### Developed Asia

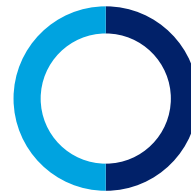
We engaged with **three** companies over the last quarter.



- Environmental 18.2%
- Social and Ethical 36.4%
- Governance 27.3%
- Strategy, Risk and Communication 18.2%

### Emerging & Developing Markets

We engaged with **one** company over the last quarter.



- Environmental 50.0%
- Social and Ethical 50.0%

### Europe

We engaged with **seven** companies over the last quarter.



- Environmental 15.0%
- Social and Ethical 25.0%
- Governance 35.0%
- Strategy, Risk and Communication 25.0%

### North America

We engaged with **33** companies over the last quarter.



- Environmental 17.6%
- Social and Ethical 39.2%
- Governance 35.3%
- Strategy, Risk and Communication 7.8%

### United Kingdom

We engaged with **three** companies over the last quarter.



- Environmental 44.4%
- Social and Ethical 22.2%
- Governance 11.1%
- Strategy, Risk and Communication 22.2%

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## Engagement by theme

Over the last quarter we engaged with **48** companies held in the Northern Trust World Small Cap ESG Low Carbon Index Fund portfolios on a range of **98** environmental, social and governance issues and objectives.

### Environmental

Environmental topics featured in **21.4%** of our engagements over the last quarter.



- Climate Change **57.1%**
- Forestry and Land Use **9.5%**
- Pollution and Waste Management **28.6%**
- Water **4.8%**

### Social and Ethical

Social and Ethical topics featured in **34.7%** of our engagements over the last quarter.



- Diversity **8.8%**
- Human Capital Management **76.5%**
- Human Rights **11.8%**
- Labour Rights **2.9%**

### Governance

Governance topics featured in **29.6%** of our engagements over the last quarter.



- Board Diversity, Skills and Experience **13.8%**
- Board Independence **13.8%**
- Executive remuneration **58.6%**
- Shareholder Protection and Rights **6.9%**
- Succession Planning **6.9%**

### Strategy, Risk and Communication

Strategy, Risk and Communication topics featured in **14.3%** of our engagements over the last quarter.



- Audit and Accounting **7.1%**
- Business Strategy **35.7%**
- Cyber Security **7.1%**
- Integrated Reporting and Other Disclosure **50.0%**