



ROTH IRA ROLLOVER/TRANSFER

For assistance in completing this application, please contact the Northern Funds Center at **800-595-9111** weekdays from 7:00 a.m. to 5:00 p.m. Central time. Please mail your application to: Northern Funds, P.O. Box 75986, Chicago, IL 60675-5986 or fax your application to: **312-557-0411**.

Please print all information.

1 PLEASE READ IMPORTANT INFORMATION

To convert from a Traditional IRA or transfer directly from another Roth IRA custodian, or to request a direct rollover from another Roth IRA, please provide your current custodian's contact information in Step 4. Once we've received the completed form from you, we will contact your current custodian and initiate this transfer for you. We will notify you when the transfer has been processed and the transfer has been invested in Northern Funds.

Please call your current custodian to verify their contact information, as well as any redemption requirements, such as a signature guarantee. Also, check how often funds are distributed. Current custodians may require the completion of additional paperwork. If all required documents are not included with this form, your transfer may be delayed. If possible, also include with this form a copy of your most recent statement from your current custodian. Also, check with your current custodian how often Funds are distributed.

If you are transferring from a brokerage account, please contact your broker/dealer. They may require that you contact them directly to authorize the sale of securities and/or mutual funds held in your account before they will enact the transfer. Failure to contact your broker/dealer may result in a delay of your transfer.

Transferring assets can generally take four to eight weeks to complete. Reduce delays by ensuring that all required forms are completed accurately and any additional paperwork is included.

2 PROVIDE YOUR INVESTOR INFORMATION

_____	_____	_____
FIRST NAME	MIDDLE INITIAL	LAST NAME
_____	_____	_____
SOCIAL SECURITY NUMBER	DATE OF BIRTH	MOTHER'S MAIDEN NAME

ADDRESS		

CITY/STATE/ZIP		
_____	_____	TAX RESIDENCY
TELEPHONE NUMBER (DAYTIME)	TELEPHONE NUMBER (EVENING)	<input type="checkbox"/> U.S. CITIZEN RESIDENT <input type="checkbox"/> RESIDENT ALIEN

E-MAIL ADDRESS		

3 SELECT YOUR INVESTMENT

<input type="checkbox"/> Invest in my existing Northern Funds Roth IRA	_____	_____
	ACCOUNT NUMBER	PERCENTAGE ALLOCATION
	_____	_____
	ACCOUNT NUMBER	PERCENTAGE ALLOCATION
	_____	_____
	ACCOUNT NUMBER	PERCENTAGE ALLOCATION

- Open a new Northern Funds Roth IRA (please attach a completed Northern Funds Roth IRA Application)
- Conversion from Traditional IRA
- Conversion from Roth IRA

4 IDENTIFY CURRENT CUSTODIAN

Please provide contact information for distributing custodian, recordkeeper, or plan administrator.

NAME OF CURRENT CUSTODIAN (BANK, SAVINGS AND LOAN, MUTUAL FUND, ETC.) _____

CONTACT PERSON _____ CURRENT CUSTODIAN PHONE NUMBER _____

ADDRESS _____

ADDRESS _____

CITY/STATE/ZIP _____

MATURITY DATE (IF APPLICABLE) _____ ACCOUNT NUMBER _____

5 INSTRUCTIONS TO CURRENT CUSTODIAN

To Current Custodian: Please consider this your authority to send my Traditional or Roth IRA to Northern Funds. If my assets are currently held in Northern Funds through a brokerage account, please provide transfer-in-kind instructions instead of a check. If I am transferring to my Roth IRA from a CD, it should only be transferred at maturity. It is my intention to transfer these assets to Northern Funds, for which The Northern Trust Company acts as custodian.

A. Please sell:

all of my assets or \$ _____ of my assets or _____ % in the account identified in Step 4.

B. Transfer my assets by:

Sending a check regular mail payable to Northern Funds
 Northern Funds
 FBO Client Name
 Reference Number _____
 P.O. Box 75986
 Chicago, IL 60675-5986

Sending a check overnight payable to Northern Funds
 Northern Funds
 FBO Client Name
 Reference Number _____
 801 S. Canal St. C5-S
 Chicago, IL 60607

Wire
 ABA #071000152
 FFC: Client Account Number

Transfer-in-kind by providing appropriate instructions to Northern Funds.
(Note: Money markets must be transferred in cash.)

6 PROVIDE YOUR SIGNATURE

I certify that I have received and read the prospectus for the Funds into which I am transferring my Roth IRA.

YOUR SIGNATURE _____ DATE _____

SIGNATURE GUARANTEE (IF REQUIRED)*
 *Many custodians require a signature guarantee. Contact your current custodian for requirements

7 MEDALLION SIGNATURE GUARANTEE

You can obtain a Medallion signature guarantee from a commercial bank or trust company, a member firm of a national stock exchange, or from an eligible guarantor institution as defined by the Securities and Exchange Commission.

SIGNATURE GUARANTEED BY:

AFFIX SIGNATURE GUARANTEED STAMP

NAME OF BANK OR FIRM _____

SIGNATURE OF OFFICER AND TITLE _____

ACCEPTANCE: Please be advised that The Northern Trust Company has been appointed to serve as successor custodian of this Roth IRA.

 _____ John D. Mele
 THE NORTHERN TRUST COMPANY AUTHORIZED SIGNATURE PRINTED NAME

Appointment of Custodian Accepted: THE NORTHERN TRUST COMPANY